

Privacy Policy

To help the government fight the funding of terrorism and money laundering activities, federal law requires financial institutions to obtain, verify, and record information that identifies each person who opens an account/establishes a relationship. What this means for you when you open an account/establish a relationship, we will ask you for your name, address, date of birth or the institution's name, address, date of incorporation, and other information that will allow us to identify you/institution. We may ask to see your driver's license, or other identifying documents or the institution's corporate identifying documents.

FACTS

WHAT DOES PAF SECURITIES, LLC (PAF) DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

Social Security number, name, address and other financial information

When you are *no longer* our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers personal information; the reasons PAF Securities chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does PAF share?	Can you limit this sharing?
For our everyday business purposes — such as to process your transactions, respond to court orders and legal investigations	Yes	No
For our marketing purposes — to offer our products and services to you	Yes	No
For joint marketing with other financial companies	Yes	No
For our affiliates' everyday business purposes — information about your creditworthiness	Not Applicable	Yes
For nonaffiliates to market to you	No	Yes

Questions?

Call (305) 577-9799 or write your Relationship Manager or go to www.panamfinance.com

PAF SECURITIES

AN AFFILIATE OF PANAMERICANFINANCE

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Who are we?

Who is providing this notice?

PAF SECURITIES, LLC (PAF)

What we do?

How does PAF protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does PAF collect my personal information?

We collect your personal information, for example, when you establish a relationship with us. We may also collect your personal information from other companies.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- Pan American Finance, LLC

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- Various Funding and investment banking sources

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- None

Other important information

When information about consumers is disclosed by PAF to nonaffiliated third parties, PAF requires that the third party maintains the confidentiality of the information disclosed and to limit the use of information by the third party solely to the purposes for which the information is disclosed or as otherwise permitted by law.

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